

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C., 20231, on:

Date: September 28, 2001

By: Lynne Anderson

#5  
Alivens  
10/10/01



Docket No. 5877-0011.30

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Rivelli et al.

EXAMINER: Unknown

SERIAL No.: 09/715,878

ART UNIT: 3738

FILED: 17 November 2000

FOR: NEUROVASCULAR STENT AND METHOD

TC 3700 MAIL ROOM

OCT -4 2001

RECEIVED

Power of Attorney by Assignee and Certification  
Under 37 CFR §3.73(b)

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

I, the undersigned, acting on behalf of the Assignee of the entire right, title and interest in the above-identified patent application, appoint the attorneys and agents listed below to prosecute this application and transact all business with the U.S. Patent and Trademark Office in connection therewith. This appointment is to the exclusion of the inventor(s) and their attorney(s) and agent(s) in accordance with the provisions of 37 CFR 3.71.

All prior powers of attorney for this application are hereby revoked. The appointed representatives are:


Peter J. Dehlinger, Registration No. 28,006  
Judy M. Mohr, Registration No. 38,563  
LeeAnn Gorthey, Registration No. 37,337  
Linda R. Judge, Registration No. 42,702  
Larry W. Thrower, Registration No. 47,994  
Jacqueline F. Mahoney, Registration No. P48,390  
Richard Gregory, Jr., Registration No. 42,607  
Michael Martensen, Registration No. 46,901  
Barbara Courtney, Registration No. 42,442  
all affiliated with Perkins Coie LLP; and

Direct all telephone calls to Peter J. Dehlinger at (650) 838-4401. Address all correspondence to:

**Perkins Coie LLP**  
**P.O. Box 2168**  
**Menlo Park, CA 94026**  
**Telephone: (650) 838-4300**  
**Customer No. 22918**

In accordance with 37 CFR 3.73(b), I hereby certify that I am empowered to act on behalf of the Assignee. To the best of my knowledge and belief, title is in the Assignee.

I further declare that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Title 18, USC §1001 and that such willful false statements may jeopardize the validity of the this application or any patent resulting therefrom.

**ASSIGNEE:** Smart Therapeutics, Inc.  
**Signature:**   
**Typed Name:** Patrick Rivelli, Jr.  
**Title:** President  
**Date:** 9/26/01  
**Address:** 2551 Merced Street  
San Leandro, CA 94577-4207

**PATENTS ONLY**

To the Honorable Assistant Commissioner for Patents: Please record the attached original documents or copy thereof

1. Name of conveying party(ies):  
Alec Piplani  
Sean Donahue  
Alexander Leynov  
Add'l names of conveying parties attached?

☐ Yes ☒ No

3. Nature of conveyance: ☒ Assignment  
☐ Merger ☐ Security Agreement ☐ Other  
☐ Change of Name ☐ Reassignment

4. Date of execution: September 26, 2001

2. Name/address of receiving party(ies):

Smart Therapeutics, Inc.  
2551 Merced Street  
San Leandro, CA 94577

Add'l names of receiving parties attached? ☐ Yes ☒ No

5. Application number(s) and/or patent number(s):

If this document is being filed with a new application, the date of signature by the first named inventor was: \_\_\_\_\_.

A. Patent Application No.(s)  
. 09/715,878 filed 17 November 2000

B. Patent No.(s)

Additional numbers attached: ☐ Yes ☒ No

6. Name and address of party to whom correspondence concerning document should be mailed:

**Perkins Coie LLP**  
**P.O. Box 2168**  
**Menlo Park, CA 94026**  
**(650) 838-4300**

7. Total No. of applications and patents involved:  
one (1)

8. Total fee (37 CFR \$3.41): \$40.00  
☒ Please Charge Deposit Account No. 50-0665 for the amount due.

9. Total number of pages, including cover sheet, attachments and document: 6

DO NOT USE THIS SPACE

10. Statement and signature:

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Peter J. Dehlinger  
Name of Person Signing

Peter J. Dehlinger  
Signature

09-28-01  
Date

RECEIVED  
OCT - 4 2001  
TC 2700 MAIL ROOM

### Assignment

THIS ASSIGNMENT, by Alec Piplani, Sean Donahue, and Alexander Leynov, (hereinafter referred to as the Assignors), residing at San Jose, California; Half Moon Bay, California; and Walnut Creek, California, respectively, witnesseth:

WHEREAS, the said Assignors have invented certain new and useful inventions set forth in an application for Letters Patent of the United States entitled NEUROVASCULAR STENT AND METHOD, bearing Serial No. 09/715,878 and filed on 17 November 2000;

WHEREAS, **Smart Therapeutics, Inc.**, a corporation duly organized under and pursuant to the laws of **Delaware**, and having its principal place of business at **2551 Merced Street, San Leandro, California 94577-4207** (hereinafter referred to as the Assignee), is desirous of acquiring the entire right, title and interest in and to said inventions and said application for Letters Patent of the United States, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon;

NOW, THEREFORE, for valuable consideration, the receipt of which is hereby acknowledged, the said Assignor has sold, assigned, transfer and set over, and by these presents does sell, assign, transfer and set over, unto the said Assignee, its successors, legal representatives and assigns, the entire right, title and interest in and to the above-mentioned inventions, application for Letters Patent, and any and all Letters Patent or Patents in the United States of America and all foreign countries which may be granted therefor and thereon, and in and to any and all divisions, continuations, and continuations-in-part of said application, or reissues, reexaminations, or extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by the said Assignee, for its own use and behoof and the use and behoof of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted, as fully and entirely as the same would have been held and enjoyed by the Assignor, had this sale and assignment not been made.

AND, for the same consideration, the said Assignor hereby covenants and agrees to and with the said Assignee, its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, the said Assignor are the joint and lawful owner of the entire right, title and interest in and to the said inventions and the application for Letters Patent above-mentioned, and that the same are unencumbered and that the said Assignor

has good and full right and lawful authority to sell and convey the same in the manner herein set forth.

AND, for the same consideration, the said Assignor hereby covenants and agrees to and with the said Assignee, its successors, legal representatives and assigns, that the said Assignor will, whenever counsel of the said Assignee, or the counsel of its successors, legal representatives and assigns, shall advise that any proceeding in connection with said inventions, or said application for Letters Patent, or any proceeding in connection with Letters Patent for said inventions in any country, including interference proceedings, is lawful and desirable, or that any division, continuation, or continuation-in-part of any application for Letters patent, or any reissue or extension of any Letters Patent, to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of Letters Patent for said inventions, without charge to said Assignees, their successors, legal representatives and assigns, but at the cost and expense of the said Assignee, its successors, legal representatives and assigns.

AND, the said Assignor hereby requests the Commissioner of Patents to issue said Letters Patent of the United States to the said Assignee as the assignee of said inventions and the Letters Patent to be issued thereon for the sole use and behoof of the said assignee, its successors, legal representatives and assigns.

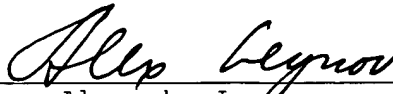
Date: Sept 26, 2001

  
Alec Piplani

Date: 9/26/01

  
Sean Donahue

Date: 9/26/01

  
Alexander Leykov

WITNESSES TO SIGNATURE BY **Alec Piplani**

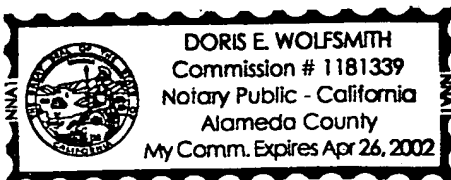
1. \_\_\_\_\_  
Signature \_\_\_\_\_ Date \_\_\_\_\_  
\_\_\_\_\_  
Print Name \_\_\_\_\_  
\_\_\_\_\_  
Street Address \_\_\_\_\_  
\_\_\_\_\_  
City, State & Zip Code \_\_\_\_\_
  
2. \_\_\_\_\_  
Signature \_\_\_\_\_ Date \_\_\_\_\_  
\_\_\_\_\_  
Print Name \_\_\_\_\_  
\_\_\_\_\_  
Street Address \_\_\_\_\_  
\_\_\_\_\_  
City, State & Zip Code \_\_\_\_\_

**OR**

STATE OF California

COUNTY OF Alameda

On September 26, 2001 before me, Doris E. Wolfsmith, Notary Public personally appeared Alec Piplani, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that he ~~she~~ executed the same in his ~~her~~ authorized capacity, and that by his ~~her~~ signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.



(Seal)

WITNESS my hand and official seal.

Doris E. Wolfsmith  
Signature

Note: May be notarized in lieu of witnessing.

WITNESSES TO SIGNATURE BY **Sean Donahue**

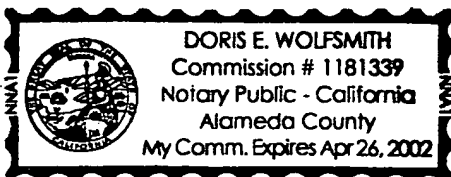
1. \_\_\_\_\_  
Signature \_\_\_\_\_ Date \_\_\_\_\_  
\_\_\_\_\_  
Print Name \_\_\_\_\_  
\_\_\_\_\_  
Street Address \_\_\_\_\_  
\_\_\_\_\_  
City, State & Zip Code \_\_\_\_\_
  
2. \_\_\_\_\_  
Signature \_\_\_\_\_ Date \_\_\_\_\_  
\_\_\_\_\_  
Print Name \_\_\_\_\_  
\_\_\_\_\_  
Street Address \_\_\_\_\_  
\_\_\_\_\_  
City, State & Zip Code \_\_\_\_\_

**OR**

STATE OF California

COUNTY OF Alameda

On September 26, 2001 before me, Doris E. Wolfsmith, Notary Public personally appeared Sean Donahue, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.



(Seal)

WITNESS my hand and official seal.

Doris E. Wolfsmith  
Signature

Note: May be notarized in lieu of witnessing.

WITNESSES TO SIGNATURE BY **Alexander Leynov**

1.

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date

\_\_\_\_\_  
Print Name

\_\_\_\_\_  
Street Address

\_\_\_\_\_  
City, State & Zip Code

2.

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date

\_\_\_\_\_  
Print Name

\_\_\_\_\_  
Street Address

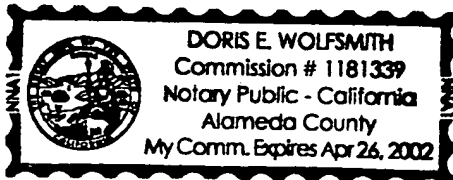
\_\_\_\_\_  
City, State & Zip Code

**OR**

STATE OF California

COUNTY OF Alameda

On September 26, 2001 before me, Doris E. Wolfsmith, "Notary Public" personally appeared Alexander Leynov, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.



(Seal)

WITNESS my hand and official seal.

Doris E. Wolfsmith  
Signature

Note: May be notarized in lieu of witnessing.